

01/28/02  
J1002 U.S. PTO

Docket No. 87335.3520

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)

J1002 U.S. PTO  
10/055970  
01/28/02

HONORABLE COMMISSIONER OF  
PATENTS AND TRADEMARKS  
Washington D.C. 20231

Case Docket No. 87335.3520

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(s): Robert A. Blakley and Bernd Gigas

FOR: **SEAL SHAFT SHUTOFF DEVICE AND METHOD**

Enclosed are:

- [X] 30 pages comprised of 1 cover sheet, 24 pages of specification, 4 pages of claims, and 1 page of abstract
- [X] Declaration & Power of Attorney
- [ ] Priority Claimed from US Application No. \_\_\_\_\_, filed \_\_\_\_\_, 200\_.
- [ ] Certified copy of \_\_\_\_\_
- [X] 8 sheets of drawings
- [ ] An assignment of the invention to \_\_\_\_\_, and the assignment recordation fee.
- [X] Return Receipt Postcard
- [X] Information Disclosure Statement, Form PTO-1449
- [ ] Copies of IDS Citations
- [ ] Other: The undersigned hereby declares that this applications meets the qualifications for **Small Entity Status** under 37 C.F.R. 1.9(f) and 1.27(c) - Small Business Concerns - Independent Inventor.
- [ ] Other:

The filing fee has been calculated as shown below:

(1) FOR	(2) NO. FILED	(3) NO. EXTRA	(4) RATE	(5) AMOUNT	
TOTAL CLAIMS	20	-20	0	x \$18.00 =	\$ 0.00
INDEPENDENT CLAIMS	4	-3	1	x \$80.00 =	\$ 80.00
MULTIPLE DEPENDENT CLAIM(S) (If applicable)			+ \$270.00 =		0.00
			<b>BASIC FEE</b>		\$ 740.00
			Total of above calculations	=	\$ 820.00
[ ] Assignment & Recording Fee					\$ 0.00
			<b>TOTAL FEE</b>		\$ 820.00

[X] Attached is a check in the amount of \$820.00. A duplicate copy of this sheet is enclosed.

[ ] Please charge my Deposit Account No. 50-2036 in the amount of \$0.00. A duplicate copy of this sheet is enclosed.

☒ [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-2036. A duplicate copy is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ [X] The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-2036. A duplicate copy of this sheet is enclosed.

[X] Any patent application processing fees under 37 CFR 1.17.

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Please address all correspondence in the above-identified application as follows:

**BAKER & HOSTETLER LLP**  
**Washington Square, Suite 1100**  
**1050 Connecticut Avenue, N.W.**  
**WASHINGTON, D.C. 20036**  
**Tel.: 202 861 1500**  
**Fax: 202 861 1783**

Respectfully submitted,

BAKER & HOSTETLER LLP

Z. J. Jura

Leo J. Jennings  
Registration No. 32,902

Date: January 28, 2002  
Washington Square, Suite 1100  
1050 Connecticut Avenue, N.W.  
Washington, D.C. 20036  
(202) 861-1500  
(202) 861-1783 fax

**THE UNIVERSITY OF CHICAGO**